TRDRP
2020 Call for Applications

Applicant Informational Webinar

October 29, 2019
Overview

• Programmatic Overview
  • TRDRP Overview and Mission
  • Highlights of the 2020 Call for Applications
  • Key dates, Grant Types and Scoring Criteria
  • Key Guidance to Applicants
  • Q&A

• Overview of New Application System
  • Navigating SmartSimple
  • Q&A
TRDRP: One of three programs using Prop 56 revenues for tobacco control

Health Promotion/Treatment
CA Dept. of Public Health

Prevention
CA Dept. of Education

Research
Univ. of California, TRDRP
TRDRP’s Mission:

Fund research that enhances understanding of tobacco use, prevention and cessation; the social, economic and policy-related aspects of tobacco use; and tobacco-related diseases in California.

**Proposition 99:** The Tobacco Tax and Health Protection Act of 1988
- 25¢ per pack cigarette surtax for cessation, prevention, and research
- 5¢ of each $1 collected supports tobacco-related research

**Proposition 56:** The California Healthcare, Research and Prevention Tobacco Tax Act of 2016
- Increased the retail tax on tobacco products by $2
- To expand research into the causes, early detection, and effective treatment, care, prevention, and potential cure of all types of cancer, cardiovascular and lung disease, oral disease, and tobacco-related diseases to ultimately save lives, and as a result, enhance the economy of the state.
2020 Call for Applications: Highlights

- There will be one award cycle for FY 2019-2020
- The names of some awards have been simplified
- A research priority has been added to include other health effects not included in the other 8 priorities
- Multiple applications from a PI will be accepted if the topics are distinct
- Two new award types have been added:
  - Rapid Response Research to Accelerate Policy
  - Community-Partnered Participatory Research Award
- Applicants are required to determine whether the sex of an animal model or human subject should be considered as a biological variable when designing their experiments.
- LOIs will be programmatically reviewed by TRDRP staff to verify consistency with the requirements and adherence with TRDRP research priorities as described in this call.
# Key Dates

<table>
<thead>
<tr>
<th>Cycle</th>
<th>2020</th>
<th>Pilot CPPRA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Call Opens</td>
<td>October, 2019</td>
<td>October 24, 2019</td>
</tr>
<tr>
<td>LOI Submission Deadline (rolling approval)</td>
<td>Thursday November 14, 2019 12pm PT</td>
<td>LOI is not required</td>
</tr>
<tr>
<td>Applications Due</td>
<td>Thursday January 23, 2020 12pm PT</td>
<td>Thursday, March 5, 2020 12 pm PT</td>
</tr>
<tr>
<td>Applicants Notified</td>
<td>June, 2020</td>
<td>June, 2020</td>
</tr>
<tr>
<td>Awards Start</td>
<td>July, 2020</td>
<td>July 2020</td>
</tr>
</tbody>
</table>
Current Research Priorities

- Social and behavioral prevention and treatment
- Cancer prevention, treatment, and biology
- Cardiovascular and cerebrovascular diseases
- Environmental exposure and toxicology
- Neuroscience of nicotine addiction and treatment
- Oral diseases and dental health
- Pulmonary biology and lung diseases
- State and local tobacco control policy research
- Other tobacco-related health effects

All TRDRP research priorities encourage studies designed to directly address disparities in tobacco use and the diseases that result.

Community engagement and community participation should be integrated into all award mechanisms at a level appropriate to the proposal type.
<table>
<thead>
<tr>
<th>Grant Mechanism</th>
<th>Maximum Award/Year (Direct Cost)</th>
<th>Maximum Award Duration (Years)</th>
<th>Purpose of Award</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Award</td>
<td>$250,000</td>
<td>3</td>
<td>To conduct research based on promising preliminary or formative data that will achieve or advance work of high impact within one or more stated research priorities</td>
</tr>
<tr>
<td>Pilot Award</td>
<td>$200,000</td>
<td>2</td>
<td>To gather preliminary data or demonstrate proof-of-principle with potential for high impact within one or more stated research priorities</td>
</tr>
<tr>
<td>New Investigator Award</td>
<td>$200,000</td>
<td>3</td>
<td>To support and enable new investigators to initiate an independent research program with potential for high impact within one or more stated research priorities</td>
</tr>
</tbody>
</table>
Scoring & Review Criteria

Research Award; Pilot Award; New Investigator Award

- Standard NIH scoring system that is based on the 1-9 scale
- Each application is scored on these criteria:

**Criteria Set-1 (30% scoring weight)**
- Responsiveness to intent of the award type
- Tobacco-relatedness
- Innovation

**Criteria Set-2 (50% scoring weight)**
- Significance
- Research plan
- Near-term leveraging potential (Pilot & New Investigator Awards)

**Criteria Set-3 (20% scoring weight)**
- Investigators
- Environment
- Community engagement & communications plan
### TRDRP Grant Types: Training

<table>
<thead>
<tr>
<th>Grant Mechanism</th>
<th>Maximum Stipend /Year</th>
<th>Maximum Award Duration (Years)</th>
<th>Purpose of Award</th>
</tr>
</thead>
<tbody>
<tr>
<td>Postdoctoral Award</td>
<td>$60,000 at least 75% effort</td>
<td>3</td>
<td>Support the mentored training of postdoctoral investigators with clear and direct commitment to and potential for contributing to the advancement of one or more stated research priorities.</td>
</tr>
<tr>
<td>Predoctoral Award</td>
<td>$30,000 at least 75% effort</td>
<td>3</td>
<td>Support the mentored training of predoctoral students with clear and direct commitment to and potential for contributing to the advancement of one or more stated research priorities.</td>
</tr>
</tbody>
</table>
Scoring & Review Criteria

Postdoctoral Award & Predoctoral Award

- Standard NIH scoring system that is based on the 1-9 scale
- Each application is scored on these criteria:

**Criteria Set-1 (50% scoring weight)**
- Qualifications of the applicant
- Training plan

**Criteria Set-2 (25% scoring weight)**
- Mentor’s qualifications and commitment
- Environment
- Community engagement and communication plan

**Criteria Set-3 (25% scoring weight)**
- Research plan
- Tobacco-relatedness
Scoring & Review Criteria

Other Considerations

- Budget: Appropriate level, overlap and out-of-state contracts
- Protection of Human Subjects from Research Risk
- Inclusion of Women, Minorities and Children in Research
- Care and Use of Vertebrate Animals in Research
- Biohazards
Community Engagement

- A community engagement and communications plan is expected to be integrated into all award mechanisms at a level appropriate to the type of research being proposed (including all biomedical, socio-behavioral, and policy).

- Investigators are encouraged to consider novel approaches to engage community members and organizations in a manner that is complementary to the proposed project.

- Investigators are expected to report annually on these activities.

- Resources describing framework and application of Community Engagement in academic research can be found on TRDRP website.
Key Guidance to Applicants

- Access to application submission material is dependent on programmatic approval of the LOI, which must be submitted before the LOI deadline.

- New applications that include cannabis must also be related to tobacco or tobacco-related disease.

- Proposition 56 requires that all research dollars must be used within California – plan your project and budget accordingly.

- TRDRP staff are available to clarify new priorities and grant requirements.

- Applications originally submitted in grant cycle 2019A, and not resubmitted in grant cycle 2019B, are eligible for resubmission in response to this 2020 Call for Applications.
Q & A
How to submit a proposal (LOI and application) in SmartSimple

presented by
Research Grants Program Office (RGPO)
Contracts and Grants Unit (C&G)

- C&G works in collaboration with TRDRP, serving as interface on administrative and procedural aspects of your Letter of Intent (LOI) or Application and their submission and review process.

- Contact information:
  - Email: RGPOgrants@ucop.edu (Best method)
  - Phone: 510-987-9386
SmartSimple Support

Contact RGPO Contracts and Grants for all technical issues, including user account retrieval.

- Email: RGPOgrants@ucop.edu (preferred)
- Phone: 510-987-9386

- Visit TRDRP website for the 2020 call for applications and related information (TRDRP.org)

Key Dates & Tips

<table>
<thead>
<tr>
<th>Action</th>
<th>Due Date</th>
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<tbody>
<tr>
<td>Letters of Intent (LOI) Due</td>
<td>Thursday, November 14, 2019 12:00 Noon PT</td>
</tr>
<tr>
<td>(Required for new &amp; resubmissions)</td>
<td></td>
</tr>
<tr>
<td>LOI Notification</td>
<td>Rolling basis</td>
</tr>
<tr>
<td>Full Applications Due</td>
<td>Thursday, January 23, 2020 12:00 Noon PT</td>
</tr>
<tr>
<td>(Inclusive of Institution Approval)</td>
<td></td>
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</table>

- All materials must be submitted through SmartSimple
- Detailed instructions are provided on the website and in SmartSimple
- **Start early** to become familiar with SmartSimple
- **Submit early**: LOIs are approved on rolling basis; no late LOIs or applications will be accepted
Accessing SmartSimple
Login to SmartSimple: https://ucop.smartsimple.com/

Returning users login here

First-time users register here
Creating an Account on SmartSimple

https://ucop.smartsimple.com

Enter your institution and complete your applicant contact information.

If you receive a message that an account with your email address already exists, return to the main login page and click “Forgot Password.”
Preparing and Submitting an LOI

1. Click on the “Available Funding Opportunities” tab in the upper right corner.
2. Find the row that corresponds to the award you’re interested in and click “Apply.”
LOI Submission Steps

Click the section headings to access and complete each section of the LOI.

Click “Submit LOI” to submit the LOI.
From Approved LOI to Invited Application

1. Click on “In Progress Applications.”
2. Locate the row for your submitted TRDRP LOI. Confirm approval of your LOI under the “Status” Column. Click “Open” to begin full application and access instructions and templates.
Application Submission

Complete each section of the application. ("Save Draft" frequently to save entered information; review & edit as needed.)

Download templates and upload required attachments in the Documentation section.

When finished, click "Submit to Signing Official."
Click the Budget tab

Click “Open” to start entering information

<table>
<thead>
<tr>
<th>Action</th>
<th>Owner - Institution</th>
<th>Total DC</th>
<th>Total IDC</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Open</td>
<td>Jane Doe 2 - MERCY HOUSING CALIFORNIA</td>
<td>$141,750</td>
<td>$42,225</td>
<td>$183,975</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>$141,750</td>
<td>$42,225</td>
<td>$183,975</td>
</tr>
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</table>

Save Draft  |  Withdraw  |  Submit to Signing Official
Start typing the name of your signing official into the field and select from the list that populates.

If you cannot find their name in the list, click “Can’t find Signing Official.” Then click “Add Signing Official.”
Budget tab – Editing the budget

Do not click “Budget Complete” until you have entered all the necessary budget figures and justification notes – clicking this button will lock your budget and you will not be able to make additional edits.
Scroll down to “Personnel Costs.” Click “+” to add a new row.

Entries will populate in the budget summary at the top of the page.

Enter budget justification.

Repeat for all budget categories.
Submit to Signing Official

Call for Application Details
Name: TRDRP 2019A - High Impact Pilot Award
Type: High Impact Pilot Award
Deadline: 09/27/2018 12:00:00

Templates and Instructions:
IP_Application_Instructions.pdf

* Applicant Signature
Check the box to indicate that you acknowledge the statement below and type in your full legal name to serve as your electronic signature.

I certify that the statements herein are true, complete and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of this application.

* Applicant Electronic Signature (Type in your full legal name)
Jane Doe

* Date
07/19/2018

Submit to Signing Official
SmartSimple Support

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Q & A